

# The structure of natural numbers

is helpful for proving  
properties

$$\forall n[n \in \mathbb{N} : P(n)]$$

# The structure of natural numbers

On natural numbers we can define a notion of a **successor**, a mapping

$$s: \mathbb{N} \rightarrow \mathbb{N}$$

by  $s(n) = n+1$

The successor mapping imposes a structure on the set that enables us to **count**:

- 1) there is a **starting** natural number 0
- 2) for every natural number  $n$ , there is a **next** natural number  $s(n) = n+1$ .

# (Some) Peano Axioms

## Important properties

(1) Different natural numbers have different successors:

$$\forall n, m [n, m \in \mathbb{N} : s(m) = s(n) \Rightarrow m = n]$$

stated positively

s is injective!

(2) 0 is not a successor:  $\forall n [n \in \mathbb{N} : \neg (s(n) = 0) ]$

(3) All natural numbers except 0 are successors:

$$\forall n [n \in \mathbb{N} \wedge \neg(n = 0) : \exists m [m \in \mathbb{N} : n = s(m)]]$$

# There is more to it - induction

Imagine an infinite sequence of dominos



If we know that

1.  $D_0$  falls
2. The dominos are close enough together so that if  $D_i$  falls, then  $D_{i+1}$  falls (for all  $i \in \mathbb{N}$ )

Then we can conclude that every domino  $D_n$  ( $n \in \mathbb{N}$ ) falls!

induction

# Induction

P - unary predicate  
over  $\mathbb{N}$

$$P(0) \wedge \forall i [i \in \mathbb{N} : P(i) \Rightarrow P(i+1)] \Rightarrow \forall n [n \in \mathbb{N} : P(n)]$$

$\forall$  elim  
with 0

$\Rightarrow$  elim



$$P(0)$$
$$P(0) \Rightarrow P(1)$$

$$P(1)$$
$$P(1) \Rightarrow P(2)$$

$$P(2)$$
$$P(2) \Rightarrow P(3)$$

...

Variant of the Peano Axiom:

Let  $K \subseteq \mathbb{N}$  have the property that

(a)  $0 \in K$  and

(b) for all  $n \in \mathbb{N}$ ,  $n \in K \Rightarrow (n+1) \in K$ .

Then  $K = \mathbb{N}$ .

# Induction

$$P(0) \wedge \forall i [i \in \mathbb{N} : P(i) \Rightarrow P(i+1)] \Rightarrow \forall n [n \in \mathbb{N} : P(n)]$$

P - unary predicate  
over  $\mathbb{N}$

$\dots$   
 (m)  $P(0)$   
       {Assume}  
 (k) **var**  $i; i \in \mathbb{N}$   
 (k+1)  $P(i)$   
        $\dots$   
 (l-1)  $P(i+1)$   
       { $\Rightarrow$ -intro on (k+1) and (l-1)}  
 (l)  $P(i) \Rightarrow P(i+1)$   
       { $\forall$ -intro on (k) and (l)}  
 (l+1)  $\forall i [i \in \mathbb{N} : P(i) \Rightarrow P(i+1)]$   
       {induction on (m) and (l+1)}  
 (l+2)  $\forall n [n \in \mathbb{N} : P(n)]$

Basis

induction  
hypothesis

Induction step

# Inductive definitions

Inductive proof: truth is passed on

Inductive definition: construction is passed on

well defined by induction

## Example

The sequence of real numbers  $(a_i \mid i \in \mathbb{N})$  is defined inductively by

$$a_0 = 2$$

$$a_{i+1} = 2a_i - 1$$

$a_0$	$a_1$	$a_2$	$a_3$	$a_4$	...
2	3	5	9	17	...

proof by induction

## Conjecture

For all  $n \in \mathbb{N}$  it holds that

$$a_n = 2^{n+1} - 1$$

# Strong induction

$P$  - unary predicate  
over  $\mathbb{N}$

$$\forall k [k \in \mathbb{N} : \forall j [j \in \mathbb{N} \wedge j < k : P(j)] \Rightarrow P(k)] \Rightarrow \forall n [n \in \mathbb{N} : P(n)]$$

$\forall$  elim with  $k=1$

$\Rightarrow$  elim,  
 $\wedge$  intro



$$\begin{aligned} &P(0) \\ &P(0) \Rightarrow P(1) \\ &P(0) \wedge P(1) \\ &P(0) \wedge P(1) \Rightarrow P(2) \\ &P(0) \wedge P(1) \wedge P(2) \\ &P(0) \wedge P(1) \wedge P(2) \Rightarrow P(3) \\ &\dots \end{aligned}$$

Definition of  
 $(a_i \mid i \in \mathbb{N})$   
with strong  
induction

$a_n$  is defined via  
 $a_0, \dots, a_{n-1}$



# Cardinality

# Cardinals

Def.

Two sets  $A$  and  $B$  have the same cardinality (are equinumerous) if there is a bijection  $f:A \rightarrow B$ .  
Notation  $A \sim B$ , or  $|A| = |B|$ .

Prop.

The relation  $\sim$  is an equivalence relation on sets.

Def.

A set  $A$  has at most as large cardinality as a set  $B$  if there is an injection  $f:A \rightarrow B$ .  
Notation  $|A| \leq |B|$ .

Def.

A set  $A$  has at least as large cardinality as a set  $B$  if there is a surjection  $f:A \rightarrow B$ .  
Notation  $|A| \geq |B|$ .

Def.

A set  $A$  has smaller cardinality than a set  $B$  if there is an injection  $f:A \rightarrow B$  and there is no surjection  $f:A \rightarrow B$ . Notation  $|A| < |B|$ .

$$|A| = [A]_{\sim}$$

cardinal  
numbers are  
 $\sim$  equivalence  
classes

Theorem (Cantor)

If  $|A| \leq |B|$   
and  
 $|B| \leq |A|$ ,  
then  
 $|A| = |B|$ .

# Operations on cardinals

Def.

Let  $A$  and  $B$  be two disjoint sets. Then  $|A| + |B| = |A \cup B|$ .

Def.

Let  $A$  and  $B$  be two sets. Then  $|A| \cdot |B| = |A \times B|$ .

Def.

Let  $A$  and  $B$  be two sets. Then  $|A|^{|B|} = |A^B|$  where  $A^B$  is the set of all functions from  $B$  to  $A$ , i.e.  $A^B = \{f \mid f: B \rightarrow A\}$ .

Prop.

Let  $A$  be a set. Then  $|\mathcal{P}(A)| = 2^{|A|}$ .

$$|A| = [A]_{\sim}$$

cardinal numbers are  $\sim$  equivalence classes

Note:  $2 = |\{0, 1\}|$

# Finite sets, finite cardinals

We write  $\mathbb{N}_k$  for the set  $\{0, 1, \dots, k-1\}$ . Then  $\mathbb{N}_0 = \emptyset$ .

We will also write  $k$  for  $|\mathbb{N}_k|$ .

Def.

A set  $A$  is finite if and only if  $|A| = k$ , for some  $k \in \mathbb{N}$ .

Hence

A set  $A$  is finite if and only if there is a natural number  $k \in \mathbb{N}$  and a bijection  $f: A \rightarrow \mathbb{N}_k$ .

$$|A| = [A]_{\sim}$$

cardinal numbers are  $\sim$  equivalence classes

if and only if  $A$  has  $k$  elements, for some  $k \in \mathbb{N}$

E.g. If  $|A| = k$  and  $|B| = m$  for some  $k, m \in \mathbb{N}$  then  $|A \times B| = k \cdot m$

The operations on cardinals when restricted to finite cardinals coincide with the operations on natural numbers!  
This justifies the notation.

# Infinite, countable and uncountable sets

Time for a video!

Hilbert's  
infinite hotel :-)

# Infinite, countable and uncountable sets

We write  $\aleph_0$  for the cardinality of natural numbers.  
Hence  $\aleph_0 = |\mathbb{N}|$ .

Def.

A set  $A$  is countable iff  $|A| = \aleph_0$ .

Prop.

$\mathbb{N}$  is countable.  
 $\mathbb{Z}$  is countable.  
 $\mathbb{Q}$  is countable.

Def.

A set is infinite iff  $|A| \geq \aleph_0$ .

Def.

A set is uncountable iff  $|A| > \aleph_0$ .

Prop.

$\mathbb{R}$  is uncountable.

$$|A| = [A]_{\sim}$$

cardinal numbers are  $\sim$  equivalence classes

Hence, every countable set is infinite

We write  $c$  for  $|\mathbb{R}|$

# Cardinals are unbounded

## Theorem (Cantor)

For every set  $A$  we have  $|A| < |\mathcal{P}(A)|$ .

Hence, for every cardinal there is a larger one.

$$|A| = [A]_{\sim}$$

cardinal  
numbers are  
 $\sim$  equivalence  
classes